

## DECLARATION FOR PATENT APPLICATION

Attorney Docket 22789XT

As a below-named inventor(s), I/we hereby declare that:

My/Our residence(s), post office address(es) and citizenship(s) is/are as stated below next to my/our name(s).

I/We believe I/we am/are the original inventor, first and sole (if only one name is listed below) or the original, first and joint inventors (if plural names are listed below) of the subject matter which is claimed, and for which a patent is sought on the invention entitled:

## PIPECOLIC ACID DERIVATIVE HAIR GROWTH COMPOSITIONS AND USES

the specification of which: (check one)

☒ is attached hereto.

☐ was filed on \_\_\_\_\_, as Serial No. \_\_\_\_\_,

and was amended on \_\_\_\_\_ 19 \_\_\_\_\_ (if applicable).

I/We hereby state that I/we have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I/We acknowledge the duty to disclose information which is material to the patentability of this application as defined by 37 CFR § 1.56.

I/We hereby claim foreign priority benefits under 35 U.S.C. § 119 of any foreign application(s) for patent or inventor's certificate listed below, and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Applications:

(Application No.)	(Country)	(Day/Month/Year Filed)	Priority Claimed	
			<input type="checkbox"/> Yes	<input type="checkbox"/> No
(Application No.)	(Country)	(Day/Month/Year Filed)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
(Application No.)	(Country)	(Day/Month/Year Filed)	<input type="checkbox"/> Yes	<input type="checkbox"/> No

I/We hereby appoint Gary M. Nath, Reg. No. 26,965; Irvin A. Lavine, Reg. No. 16,838; Karen Lee Orzechowski, Reg. No. 31,621; Harold L. Novick, Reg. No. 26,011; Suet M. Chong, Reg. No. 38,104; Todd L. Juneau, Reg. No. 40,699; Patricia M. Drost, Reg. No. 29,790; Leigh A. Penfield, Reg. No. 37,050; Donald L. Sandler, Reg. No. 19,237; Robert G. Lev, Reg. No. 30,280; Lee C. Heiman, Reg. No. P-41,827; and Samson Vermont, Reg. No. P-42,202, as my attorneys to prosecute this application and transact all business in the U.S. Patent and Trademark Office connected therewith.

Direct Telephone Calls to:

Gary M. Nath  
(202) 775-8383

Send Correspondence to:  
NATH & ASSOCIATES  
Suite 750  
1835 K Street, N.W.  
Washington, D.C. 20006 U.S.A.

I/We hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by 35 U.S.C. § 112, first paragraph, I/we acknowledge the duty to disclose material information as defined in 37 CFR § 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

<u>08/869,426</u> (U.S. Application Serial No.)	<u>June 4, 1997</u> (U.S. Filing Date)	<u>pending</u> (Status--patented, pending, abandoned)
(U.S. Application Serial No.)	(U.S. Filing Date)	(Status--patented, pending, abandoned)

## DECLARATION FOR PATENT APPLICATION

Attorney Docket 22789XT

I/We hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor: Joseph P. Steiner

Inventor's Signature Joseph P. Steiner Date 5/29/98Residence: 4150 Louisville Road, Finksburg, MD 21048Citizenship: United States of AmericaPost Office Address: Same

Full name of second inventor: Gregory S. Hamilton

Inventor's Signature Gregory S. Hamilton Date MAY 29, 1998Residence: 6501 Frederick Road, Catonsville, MD 21228Citizenship: United States of AmericaPost Office Address: SAME

Full name of third inventor: \_\_\_\_\_

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

Residence: \_\_\_\_\_

Citizenship: \_\_\_\_\_

Post Office Address: \_\_\_\_\_

Full name of fourth inventor: \_\_\_\_\_

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

Residence: \_\_\_\_\_

Citizenship: \_\_\_\_\_

Post Office Address: \_\_\_\_\_

Full name of fifth inventor: \_\_\_\_\_

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

Residence: \_\_\_\_\_

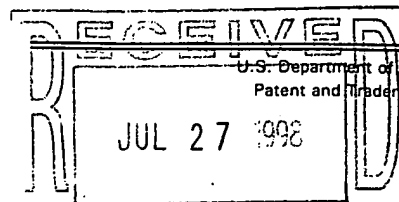
Citizenship: \_\_\_\_\_

Post Office Address: \_\_\_\_\_

08-03-1998



100779547



7-2798

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

## 1. Name of Conveying Party(ies):

Joseph P. Steiner  
Gregory S. Hamilton☐ Additional names of conveying parties attached.

## 3. Nature of Conveyance

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other:

Execution Date: July 6, 1998

## 2. Name and Address of Receiving Party(ies):

Name: Guilford Pharmaceuticals Inc.

Street Address: 6611 Tributary Street

City, State, Zip: Baltimore, MD 21224

☐ Additional name(s) and address(es) attached.

## 4. (A) Patent Application Number(s):

09/089,376

If this document is being filed together with a new application, the execution date of the application is:

## 4. (B) Patent Number(s):

☐ Additional Numbers Attached.

## 5. Name and Address of Party to whom Correspondence Concerning this Document Should be Mailed:

Name: Gary M. Nath  
Address: NATH & ASSOCIATES  
1835 K Street, N.W. -- Suite 750  
Washington, D.C. 20006

## 6. Total Number of Applications and Patents Involved:

1

## 7. Total Fee:

(37 CFR 3.41)

\$40.00

- ☒ Enclosed.  
☐ Authorized to be charged to deposit account.

## 8. Deposit Account Number:

14-0112

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07/29/1998 SSMITH 00000096 09089376

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40.00 00

## 9. Statement and Signature:

*To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true copy of the original document.*

Name of Person Signing

Gary M. Nath, Reg. No. 26,965

Suet M. Chong, Reg. No. 38,104

Attorney Docket No.: 22789X-T

Date

7/27/98

Total number of pages comprising cover sheet: 1

ASSIGNMENT

ASSIGNOR, **GUILFORD PHARMACEUTICALS INC.** a corporation organized and existing under the laws of Delaware, whose post office address/business address is: 6611 Tributary Street, Baltimore, Maryland 21224, owns certain new and useful improvements disclosed in the invention entitled:

**Pipecolic Acid Derivative Hair Growth Compositions and Uses**

by virtue of an Assignment from the inventors, for which an application was filed in the United States Patent and Trademark Office on June 3, 1998, of which ASSIGNOR has received an Assignment from the inventors.

ASSIGNEE, **GPI NIL HOLDINGS, INC.**, a corporation organized and existing under the laws of Delaware, whose post office address/business address is 222 Delaware Avenue, Wilmington, DE 19899, desires to acquire the entire right, title and interest for the United States and elsewhere throughout the world in and to said invention and application, including any and all divisions and continuations thereof; any and all rights of priority under the terms of the International Convention for the Protection of Industrial Property (hereinafter the "Paris Convention"); and any and all Letters Patent that may be granted thereon, including any and all renewals, and reissues and prolongations thereof.

NOW, THEREFORE, for good and valuable consideration, the receipt, adequacy and sufficiency of which are hereby acknowledged, ASSIGNOR agrees to assign and does hereby assign unto ASSIGNEE all right, title and interest of ASSIGNOR in and to the above identified Patent Applications and the invention described and claimed in said Applications, and in and to any and all divisions and continuations thereof, any and all rights of priority under the terms of the Paris Convention, any and all Letters Patent that may be granted thereon, including any and all renewals, and reissues and prolongations thereof, with all rights, powers, privileges, and advantages in any way arising from or pertaining thereto, for and during the term or terms of any and all such Letters Patent when granted, including any and all renewals, reissues, and prolongations thereof, for the use and benefit of said ASSIGNEE and its successors, assigns, and legal representatives in as ample and beneficial a manner to all intents and purposes as the same might or could have held and enjoyed by said ASSIGNOR, if this assignment had not been made.

FILED IN 22789X-T

**ASSIGNMENT**

Page 2 of 2

Attorney Docket No. 22789X-T

ALSO, that said ASSIGNOR hereby agrees to file applications in the United States and elsewhere throughout the world for said invention and to assign the same to said ASSIGNEE, or any subsequent assignee acquiring title to said invention, and to execute any other papers that may be needed in connection with filing said application and securing Letters Patent thereon.

And said ASSIGNORS hereby grant Gary M. Nath, Reg. No. 26,965; Irvin A. Lavine, Registration No. 16,838; Karen Lee Orzechowski, Reg. No. 31,621; Harold L. Novick, Reg. No. 26,011; Suet M. Chong, Reg. No. 38,104; Todd L. Juneau, Reg. No. 40,669; Patricia M. Drost, Reg. No. 29,790; Leigh A. Penfield, 37,050; Donald L. Sandler, Reg. No. 19,237; Robert G. Lev, Reg. No. 30,280; and Lee C. Heiman, Reg. No. P-41,827, the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

ALSO, that ASSIGNOR hereby agrees to not execute any instrument or grant or transfer any rights or interests inconsistent with the rights and interests granted herein.

AND FURTHER THAT said ASSIGNOR authorizes and requests the Commissioner of Patents to issue Letters Patent on said application, and on any and all divisions and continuations thereof, to said ASSIGNEE, and its successors, assigns, and legal representatives, in accordance herewith.

IN WITNESS WHEREOF, Assignor has caused this instrument to be executed by its duly authorized representative.

By: GUILFORD PHARMACEUTICALS INC.



Thomas C. Seoh,  
Vice President, General Counsel,  
and Secretary

JUL 07 1998

Date

08-06-1998



B/O FORM PTO-1595 (1/31/92)

U.S. Department of Commerce  
Patent and Trademark Office100786250  
RECORDATION FUND COVER SHEET  
PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

## 1. Name of Conveying Party(ies):

Guilford Pharmaceuticals Inc.

☐ Additional names of conveying parties attached.

## 3. Nature of Conveyance

- ☒ Assignment      ☐ Merger  
☐ Security Agreement      ☐ Change of Name  
☐ Other:

Execution Date: July 7, 1998

## 2. Name and Address of Receiving Party(ies):

Name: GPI NIL Holdings, Inc.

Street Address: 222 Delaware Avenue

City, State, Zip: Wilmington, DE 19899

☐ Additional name(s) and address(es) attached.

## 4. (A) Patent Application Number(s):

09/089,376

If this document is being filed together with a new application, the execution date of the application is:

☐ Additional Numbers Attached.

## 4. (B) Patent Number(s):

## 5. Name and Address of Party to whom Correspondence Concerning this Document Should be Mailed:

Name: Gary M. Nath  
 Address: NATH & ASSOCIATES  
 1835 K Street, N.W. -- Suite 750  
 Washington, D.C. 20006

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## 7. Total Fee:

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\$40.00

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14-0112

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## 9. Statement and Signature:

*To the best of my knowledge and belief, the foregoing is true and correct and my attached copy is a true copy of the original document.*

Name of Person Signing

Gary M. Nath, Reg. No. 26,965  
 Suet M. Chong, Reg. No. 38,104

Date

7/30/98

Attorney Docket No.: 22789X-T

Total number of pages comprising cover sheet: 1

MRD 7-30-98

ASSIGNMENT

FOR GOOD AND VALUABLE CONSIDERATION given to **Gregory S. Hamilton** of Catonsville, Maryland and **Joseph P. Steiner** of Hampstead, Maryland, hereinafter referred to as the ASSIGNORS, who have made an invention relating to: **Pipecolic Acid Derivative Hair Growth Compositions and Uses**, for which an application for Letters Patent has been filed in the United States Patent and Trademark Office on June 3, 1998;

WHEREAS, **GUILFORD PHARMACEUTICALS INC.**, a corporation organized and existing under the laws of Delaware, whose post office address/business address is: 6611 Tributary Street, Baltimore, Maryland, hereinafter referred to as the ASSIGNEE, is desirous of acquiring hereinafter the entire right, title and interest for the United States and elsewhere throughout the world in and to said invention and application, including any and all divisions and continuations thereof; any and all rights of priority under the terms of the International Convention for the Protection of Industrial Property (hereinafter the "Paris Convention"); and any and all Letters Patent that may be granted thereon, including any and all renewals, reissues and prolongations thereof.

NOW, THIS WITNESSETH that for, and in consideration of, One Dollar (\$1.00) and other good and valuable consideration, the receipt and adequacy of which are hereby acknowledged, said ASSIGNORS, on behalf of themselves and their heirs, successors, and assigns, hereby assign, sell, and transfer to said ASSIGNEE and its successors, assigns, and legal representatives, the entire exclusive right, title and interest in and to said invention and application, for the United States and elsewhere throughout the world, including any and all divisions and continuations thereof, any and all rights to priority under the Paris Convention, and any and all Letters Patent which may be granted therefor, said ASSIGNEE and its successors, assigns, and legal representatives to have, hold, exercise and enjoy said invention and applications, including any and all divisions and continuations thereof, any and all rights to priority under the Paris Convention, and any and all Letters Patent which may be granted thereon, including any and all renewals, reissues and prolongations thereof, with all the rights, powers, privileges, and advantages in any way arising from or pertaining thereto, for and during the term or terms of any and all such Letters Patent when granted, including any and all renewals, reissues, and prolongations thereof, for the use and benefit of said ASSIGNEE and its successors, assigns, and legal representatives, in as ample and beneficial a manner to all intents and purposes as the same might or could have been held and enjoyed by said ASSIGNORS, if this assignment had not been made.

RECEIVED - 2/23/99

**ASSIGNMENT**

Page 2 of 2

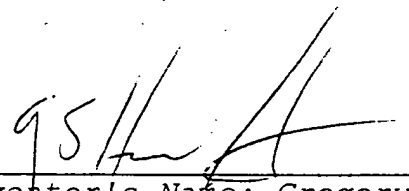
Atty Docket No. 22789X-T

ALSO, that said ASSIGNORS hereby agree to execute all papers necessary to file applications in the United States and elsewhere throughout the world for said invention and to assign the same to said ASSIGNEE, or any subsequent assignee acquiring title to said invention, and to execute all other papers that may be needed in connection with filing any and all applications and securing Letters Patent thereon.

And said ASSIGNORS hereby grant Gary M. Nath, Reg. No. 26,965; Irvin A. Lavine, Registration No. 16,838; Karen Lee Orzechowski, Reg. No. 31,621; Harold L. Novick, Reg. No. 26,011; Suet M. Chong, Reg. No. 38,104; Todd L. Juneau, Reg. No. 40,669; Patricia M. Drost, Reg. No. 29,790; Leigh A. Penfield, 37,050; Donald L. Sandler, Reg. No. 19,237; Robert G. Lev, Reg. No. 30,280; and Lee C. Heiman, Reg. No. P-41,827, the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

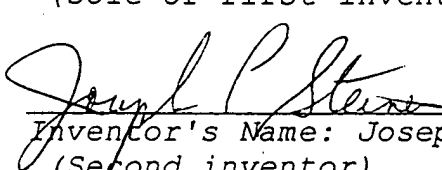
AND FURTHER THAT said ASSIGNORS authorize and request the Commissioner of Patents to issue Letters Patent on said application, and on any and all divisions and continuations thereof, to said ASSIGNEE, and its successors, assigns, and legal representatives, in accordance herewith.

By:

  
Inventor's Name: Gregory S. Hamilton  
(Sole or first inventor)

JUL 06 1998  
Date

By:

  
Inventor's Name: Joseph P. Steiner  
(Second inventor)

JUL 06 1998  
Date

FILED JUL 6 1998